

Uncountably many well-quasi-ordered permutation classes

Robert Brignall

Joint work with Vince Vatter (U. Florida)

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Given a class \mathcal{C} , what properties guarantee a 'tame' enumeration?

Finitely many simple permutations

Theorem (Albert and Atkinson 2005)

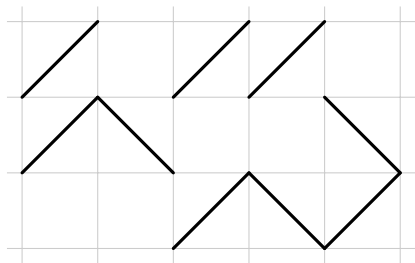
If a class \mathcal{C} contains only finitely many simple permutations, then it has an algebraic generating function and is finitely based.

$$f(z) = \bullet + \begin{array}{|c|c|} \hline & f(z) \\ \hline f_{\emptyset}(z) & \\ \hline \end{array} + \begin{array}{|c|c|} \hline f_{\emptyset}(z) & \\ \hline & f(z) \\ \hline \end{array} + \begin{array}{|c|c|c|} \hline & f_4(z) & \\ \hline f_2(z) & & f_3(z) \\ \hline & f_1(z) & \\ \hline \end{array} + \dots$$

Geometrically griddable classes

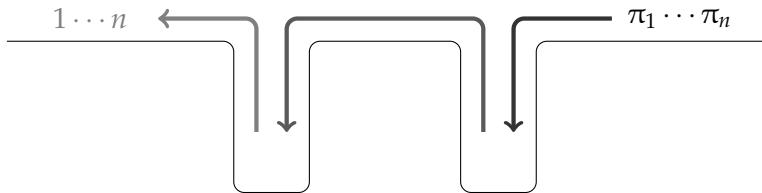
Theorem (Albert, Atkinson, Bouvel, Ruškuc and Vatter 2013)

If a class \mathcal{C} is geometrically griddable, then it has a rational generating function and is finitely based.



regular language
over finite alphabet

Non-example: two stacks in series



Pierrot & Rossin (2017) Membership is polynomial time

Elvey Price & Guttman (2017) Exact enumeration to length 20
Generating function $\sim A(1 - \mu \cdot z)^\gamma$

Murphy (2003) Not finitely based

Finitely based classes

All classes that have finitely many simples, or that are geometrically griddable are finitely based. Two-stacks are not.

Conjecture (Noonan, Zeilberger, 1996)

Every finitely based class has a D-finite generating function.

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Theorem (Garrabrant, Pak, 2015)

Zeilberger is right: Noonan-Zeilberger is false.

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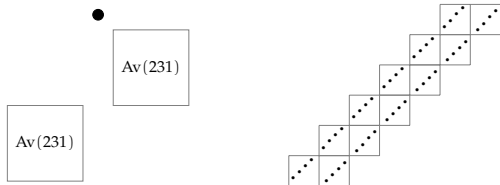
Conjecture

Every finitely based class with growth rate < 4 has a rational generating function.

Given a class \mathcal{C} , what properties guarantee a 'tame' enumeration?

	Av(231)	Av(321)
Growth rate	4	4
Generating function	$\frac{1 - \sqrt{1 - 4z}}{2z}$	$\frac{1 - \sqrt{1 - 4z}}{2z}$
Basis	231	321


'Look like'



Subclasses of $\text{Av}(231)$, $\text{Av}(321)$

	$\mathcal{C} \subsetneq \text{Av}(231)$	$\mathcal{D} \subsetneq \text{Av}(321)$
Growth rate	Countably many possibilities	Includes [2.36, 2.48] (Bevan, 2018)
Generating function	Rational (Albert, Atkinson, 2005)	Could be anything
Basis	Finite	Finite or infinite

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Infinite antichains?	No	Yes:  ...

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FALSE


0 1 1 0 1 0 0 0 1 1 0 \dots

Binary word $w \rightarrow$ permutation π_w



0 1 1 0 1 0 0 0 1 1 0 \dots

Binary word $w \rightarrow$ permutation π_w



0 1 1 0 1 0 0 0 1 1 0 ...

Binary word $w \rightarrow$ permutation π_w



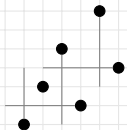
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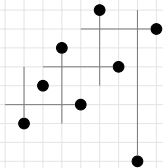
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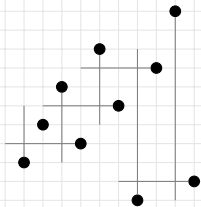
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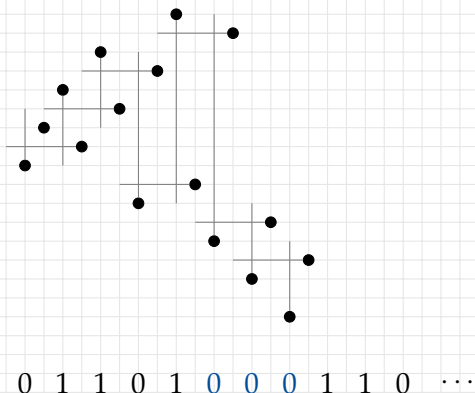
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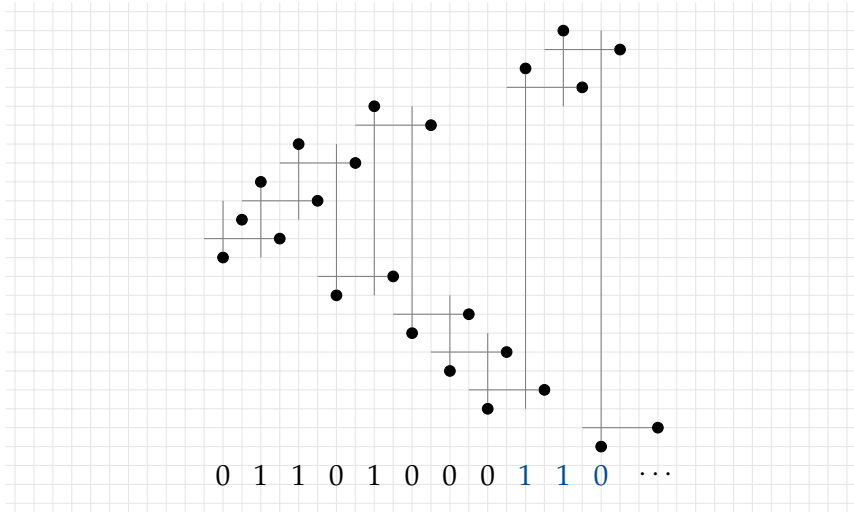


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Prouhet-Thue-Morse

0110 1001 1001 0110 1001 0110 0110 1001 1001 0110 0110 1001 0110 1001 . . .

Prouhet-Thue-Morse

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$$w_1 = 01$$

$$w_2 = 01\ 10$$

$$w_3 = 0110\ 1001$$

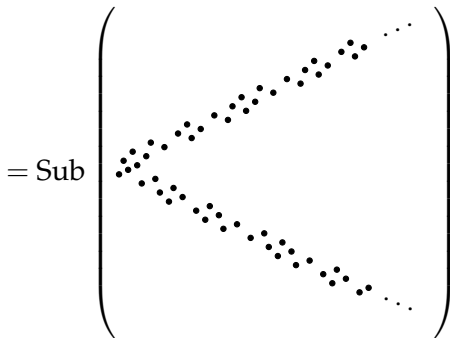
\vdots

$$w_n = w_{n-1}\overline{w_{n-1}}$$

Prouhet-Thue-Morse

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$\mathcal{P} = \{\text{permutations } \pi \text{ contained in } \pi_{w_i} \text{ for some } i\}$



Prouhet-Thue-Morse '(1, 1, 1, ...)'

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Sequence '(2, 1, 1, 1, ...)'

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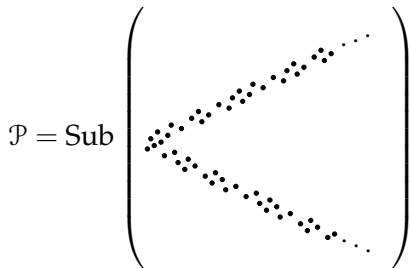
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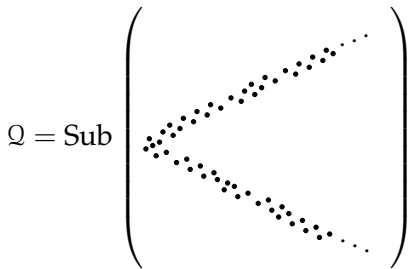
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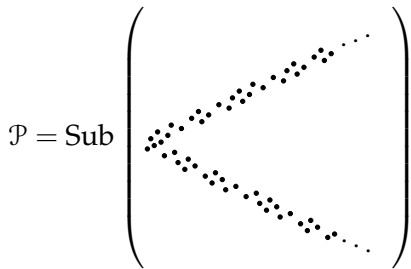
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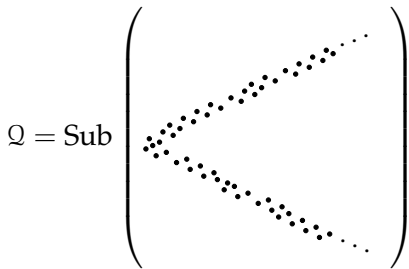
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$$f_{\mathcal{P}}(z) = 1 + z + 2z^2 + 6z^3 + 22z^4 \\ + 80z^5 + 276z^6 + 948z^7 \\ + 3276z^8 + \dots$$

Sequence '(2, 1, 1, 1, ...)'

010110101010010110100101...



$$f_{\mathcal{Q}}(z) = 1 + z + 2z^2 + 6z^3 + 22z^4 \\ + 80z^5 + 276z^6 + 948z^7 \\ + 3264z^8 + \dots$$

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- The binary sequences are **uniformly recurrent**
 \implies permutation classes are **WQO**
- The binary sequences have different **complexity functions**
 \implies permutation classes have **different enumerations**

Theorem

There are uncountably many WQO permutation classes with distinct enumerations.

Corollary

There exist WQO permutation classes that do not have algebraic (or even D-finite) generating functions.

Concluding remarks

About these classes:

- Are the growth rates distinct?

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In search of 'tame' enumeration:

- Is *labelled* WQO enough to guarantee algebraic g.f.s?
- (Note: LWQO \implies WQO + finitely based.)

Merci!