

# OCCURRENCES OF SUBSEQUENCES IN BINARY WORDS

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## $c_p(w)$

$c_p(w)$  = Number of subsequences of  $w$  that match  $p$ .

**Example.** For  $p = 10$  and  $w = 010010$ ,  $c_p(w) = 4$ .

0 1 0 0 1 0

## $B_{n,p}(k)$

$$B_{n,p}(k) = \#\{w \in \{0,1\}^n \mid c_p(w) = k\}$$

Number of binary words of length  $n$  that contain  $p$  exactly  $k$  times.

**Example.** If  $p = 1$ , then

$$B_{n,p}(k) = \binom{n}{k}.$$

**Exercise.** If  $p$  is of length  $l$  with  $r_i$  runs of size  $i$ , then for any  $k \geq 2$ ,

$$B_{l+1,p}(k) = r_{k-1}.$$

## Runs

Maximal subsequence of consecutive letters that are equal.

**Example.** Can encode word using run lengths and first letter.

1 0 0 1 1 1 0 0  
 $\downarrow$   
 $(1, 2, 3, 2)_1$

## $B_{n,p}(0)$

If  $p$  is of length  $l$ ,

$$B_{n,p}(0) = \sum_{j=0}^{l-1} \binom{n}{j}.$$

**Idea:** How much of  $p$  can we get?

Suppose  $p = 10010$

0 0 ... 0

0 0 ... 0 1 1 1 ... 1

0 0 ... 0 1 1 1 ... 1 0 1 1 ... 1

$$(1-p_1)^{i_1} p_1 (1-p_2)^{i_2} p_2 \cdots (1-p_j)^{i_j} p_j (1-p_{j+1})^{i_{j+1}}$$

## $B_{n,p}(1)$

If  $p$  is of length  $l$  and has  $r$  runs,

$$B_{n,p}(1) = \binom{n-r+1}{l-r+1}.$$

**Idea:** Insert letters into  $p$  without creating new occurrences.

Suppose  $p = 100011$

1 0 0 0 1 1

One way to insert letters is

0 0 1 0 0 1 0 1 0 0 1 0

## $B_{n,p}(2)$

If  $p$  has length  $l$  and  $r$  runs with  $r_1$  of size 1,

$$B_{n,p}(2) = r_1 \binom{n-r}{l-r+1}.$$

**Idea:** Use runs of size 1 to make an extra occurrence. Insert letters without creating any more.

Suppose  $p = 110100$

1 1 0 1 0 0

1 1 0 0 1 0 0

0 1 0 1 0 0 1 0 0 1 0 1

Let  $p$  be a pattern of length  $l$  with at most 3 runs, say  $p = 1^i 0^j 1^k$  where  $i, k \geq 0, j \geq 1$ .

## Maximum Occurrences

- $M_{n,p} = \max\{c_p(w) \mid w \in \{0,1\}^n\}$ .
- $w \in \{0,1\}^n$  is  $p$ -optimal if  $c_p(w) = M_{n,p}$ .

- We have  $M_{n,p} = \max\left\{\binom{a}{i} \binom{b}{j} \binom{c}{k} \mid a+b+c=n\right\}$ .
- If  $1^a 0^b 1^c$  is  $p$ -optimal, then so is at least one word in  $\{1^{a+1} 0^b 1^c, 1^a 0^{b+1} 1^c, 1^a 0^b 1^{c+1}\}$ .
- The sequence  $(M_{n,p})_{n \geq 0}$  is log-concave.

## Internal Zeroes

We say  $p$  has an *internal zero* at  $n$  if there exist  $0 \leq k_1 < k_2 < k_3$  such that

$$B_{n,p}(k_1), B_{n,p}(k_3) \neq 0 \text{ but } B_{n,p}(k_2) = 0.$$

- $p = 10$  does not have any internal zeroes.
- $p = 101$  does not have an internal zero at  $n$  for  $n \geq 7$ .
- If  $p$  isn't alternating, it has internal zeroes at all  $n \geq l+3$ .

## Future Directions

- Refine  $B_{n,p}(k)$  by keeping track of statistics. Number of 1s, number of runs etc.
- We have used runs to study  $B_{n,p}(k)$ . Are there better ways to do this?

### Conjecture

For two patterns  $p, q$ , we have

$$B_{n,p}(k) = B_{n,q}(k) \text{ for all } n, k \geq 0$$

if and only if  $p = q, q^r, q^c, \text{ or } q^{rc}$ .

Patterns of length at most 13 ✓

Expressions for  $B_{n,p}(3)$  and  $B_{n,p}(4)$  in [2].  
 Formulas for  $B_{n,p}(k)$  for  $k \geq 5$ ?  
 Might be easier to study for particular patterns  $p$ .  
 Interesting case: Alternating words.

## References

- [1] M. Bóna, B. E. Sagan, and V. R. Vatter. Pattern frequency sequences and internal zeros. *Advances in Applied Mathematics*, 2002.  
 [2] K. Menon and A. Singh. Subsequence frequency in binary words. *arXiv:2306.07870*, 2023.

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